

## Declarations required by General Condition 6 and Continuity of Service condition 7 of the NHS provider licence

### 1 & 2 General condition 6 - Systems for compliance with license conditions (FTs and NHS trusts)

- 1 Following a review for the purpose of paragraph 2(b) of licence condition G6, the Directors of the Licensee are satisfied that, in the Financial Year most recently ended, the Licensee took all such precautions as were necessary in order to comply with the conditions of the licence, any requirements imposed on it under the NHS Acts and have had regard to the NHS Constitution.

**CONFIRMED**

Please Respond

Signed on behalf of the board of directors, and, in the case of Foundation Trusts, having regard to the views of the governors

**Signature**



**Name** Roger French

**Capacity** Chairman

**Date** 23 May 2017

**Signature**



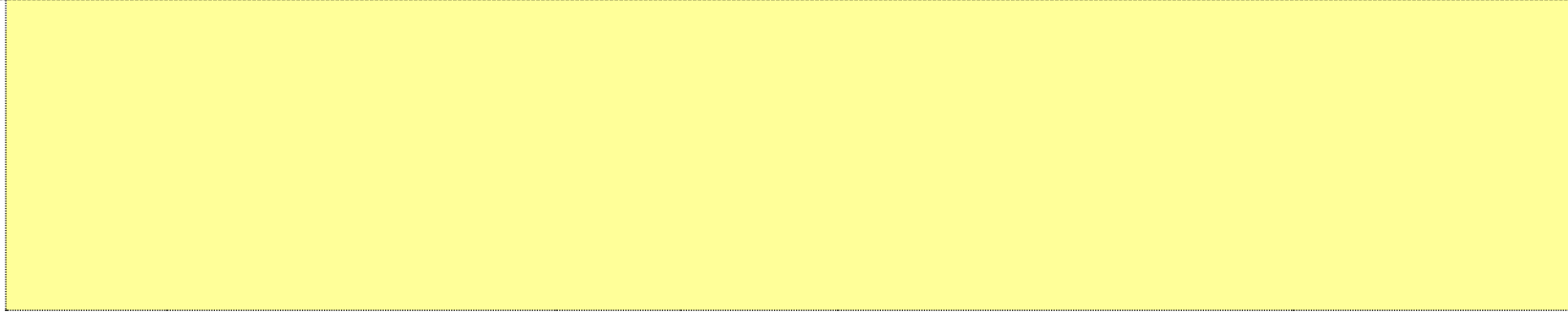
**Name** Dr Alison Diamond

**Capacity** Chief Executive

**Date** 23 May 2017

Further explanatory information should be provided below where the Board has been unable to confirm declarations under G6.

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## Corporate Governance Statement (FTs and NHS trusts) Condition FT4(8)

*The Board are required to respond "Confirmed" or "Not confirmed" to the following statements, setting out any risks and mitigating actions planned for each one*

### 1 Corporate Governance Statement

	Response	Risks and Mitigating actions	
1 The Board is satisfied that the Licensee applies those principles, systems and standards of good corporate governance which reasonably would be regarded as appropriate for a supplier of health care services to the NHS.	<b>CONFIRMED</b>	The Board has received sufficient assurance that the principles, systems and standards of good corporate governance are applied and operating effectively.	Please Respond
2 The Board has regard to such guidance on good corporate governance as may be issued by NHS Improvement from time to time	<b>CONFIRMED</b>	Guidance issued by NHS Improvement is routinely presented to and discussed by the Board.	Please Respond
3 The Board is satisfied that the Licensee has established and implements: (a) Effective board and committee structures; (b) Clear responsibilities for its Board, for committees reporting to the Board and for staff reporting to the Board and those committees; and (c) Clear reporting lines and accountabilities throughout its organisation.	<b>CONFIRMED</b>	The Board has confirmed compliance with these requirements in the Annual Governance Statement. There are effective Board and committee structures in place with clear terms of reference. The Board is sighted on organisational and committee structures and governance arrangements which provide a clear and effective reporting and accountability framework.	Please Respond

<p>4 The Board is satisfied that the Licensee has established and effectively implements systems and/or processes:</p> <p>(a) To ensure compliance with the Licensee’s duty to operate efficiently, economically and effectively;</p> <p>(b) For timely and effective scrutiny and oversight by the Board of the Licensee’s operations;</p> <p>(c) To ensure compliance with health care standards binding on the Licensee including but not restricted to standards specified by the Secretary of State, the Care Quality Commission, the NHS Commissioning Board and statutory regulators of health care professions;</p> <p>(d) For effective financial decision-making, management and control (including but not restricted to appropriate systems and/or processes to ensure the Licensee’s ability to continue as a going concern);</p> <p>(e) To obtain and disseminate accurate, comprehensive, timely and up to date information for Board and Committee decision-making;</p> <p>(f) To identify and manage (including but not restricted to manage through forward plans) material risks to compliance with the Conditions of its Licence;</p> <p>(g) To generate and monitor delivery of business plans (including any changes to such plans) and to receive internal and where appropriate external assurance on such plans and their delivery; and</p> <p>(h) To ensure compliance with all applicable legal requirements.</p>	<p><b>CONFIRMED</b></p>	<p>The Board has received sufficient assurance to evidence that there are established and effective systems and processes to meet this requirement, underpinned by a robust board assurance framework, risk management system, and reporting arrangements.</p>	<p>Please Respond</p>
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<p>5 The Board is satisfied that the systems and/or processes referred to in paragraph 4 (above) should include but not be restricted to systems and/or processes to ensure:</p> <p>(a) That there is sufficient capability at Board level to provide effective organisational leadership on the quality of care provided;</p> <p>(b) That the Board’s planning and decision-making processes take timely and appropriate account of quality of care considerations;</p> <p>(c) The collection of accurate, comprehensive, timely and up to date information on quality of care;</p> <p>(d) That the Board receives and takes into account accurate, comprehensive, timely and up to date information on quality of care;</p> <p>(e) That the Licensee, including its Board, actively engages on quality of care with patients, staff and other relevant stakeholders and takes into account as appropriate views and information from these sources; and</p> <p>(f) That there is clear accountability for quality of care throughout the Licensee including but not restricted to systems and/or processes for escalating and resolving quality issues including escalating them to the Board where appropriate.</p>	<p><b>CONFIRMED</b></p>	<p>The Board is satisfied that the arrangements referred to above have quality of care at the heart of planning and decision-making processes, and that the capability at Board level is sufficient to provide effective organisational leadership on quality in line with the Well-led Framework.</p>	<p>Please Respond</p>
<p>6 The Board is satisfied that there are systems to ensure that the Licensee has in place personnel on the Board, reporting to the Board and within the rest of the organisation who are sufficient in number and appropriately qualified to ensure compliance with the conditions of its NHS provider licence.</p>	<p><b>CONFIRMED</b></p>	<p>There have been a number of changes at Executive Director level through the 2016-17 financial year in which the Board has been fully engaged and involved. The Board is satisfied that there are robust systems in place to ensure compliance with the conditions of the NHS provider licence.</p>	<p>Please complete Risks and Mitigating actions</p>

Signed on behalf of the Board of directors, and, in the case of Foundation Trusts, having regard to the views of the governors

**Signature**



**Name** Roger French

**Signature**



**Name** Dr Alison Diamond

Further explanatory information should be provided below where the Board has been unable to confirm declarations under FT4.

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Please Respond